November / 4_, 2002

Date

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Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

RPTO/SB/21 (08-00)

2.7		,	Applic	cation Number	09/905,053 July 12, 2001 Hung-Tien Yu				
NOV 2 TRAN	SMITTAL	:	Filing	Date					
FORM				Named Inventor	July 12, 2001 28 15 16 17 18 18 18 18 18 18 18 18 18 18 18 18 18				
the used for a cor	rrespondence after in	nitial filing)	Group	Art Unit	2823				
AUENA		J	Exami	iner Name	Pompey, R.				
otal Number of Pages in This Submission 1			Attorn	ey Docket Number	A5552/T46400				
		ENCL	OSURES	(check all that apply)	3				
Fee Transmittal F	Form	Assigni	Assignment Papers (for an Application)		After Allowance Communication to Group				
Fee Attached	i	☐ Drawin	ıg(s)		Appeal Communication to Board of Appeals and Interferences				
Amendment / Res	sponse	Licensi	ing-relate	ed Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)				
After Final		_	_	Slip (PTO/SB/69) ying Petition	Proprietary Information				
Affidavits/dec	plaration(s)		n to Convi ional Appl		Status Letter				
Extension of Time Request		Power of Attorney, Revocation Change of Correspondence Address			Other Enclosure(s) (please identify below):				
		Termir	nal Disclai	imer	1) Two (2) Return receipt postcards				
Express Abandon	ment Request	Reque	st for Ref	und	2) Two (2) references				
Information Disclo	osure Statement	CD, N	umber of (CD(s)					
Certified Copy of Priority Document(s)		Remarks Deposit Account 20-1			authorized to charge any additional fees to 430. es does not include cited references.				
Response to Miss				10tai numbo. v. pg	es does not more some some				
Response to Missing Parts under 37 CFR 1.52 or 1.53									
				ANT, ATTORNEY, O	R AGENT				
Firm and Individual name	Townsend and Townsend and Crew LLP Kent J. Tobin Reg No. 39,496								
Signature	D -								
Date	November 12, 2002								
		CE	RTIFIC!	ATE OF MAILING					
hereby certify that th	nis correspondence is	being depo	sited with	the United States Post er of Patents, Washingt	tal Service with sufficient postage as First ton D.C. 20231 on this date:				

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OFFE TO A MODALTT AL	Complete if Known							
// FEE JRANSMIIIAL	Applic	Application Number 09.			905,053			
OFER TRANSMITTAL	Filing Date			July 1	July 12, 2001			
Patent fees are subject to annual revision	First Named Inventor H		Hung	Hung-Tien Yu				
Applicant claims small entity status. See 37 CFR 1.27	Examiner Name		Lee, H.M		m			
The state of the s	<u></u>	And I look		2823	2/T46400 3 22	<u> </u>		
	Group Art Unit			1				
TOTAL AMOUNT OF PAYMENT (\$) 180	Attorn	ey Docke	t No.	A5552		- ~		
METHOD OF PAYMENT (check all that apply)				FEE CA	ALCULATION (continued)			
Check Credit Card MoneyOrder Other None	3. ADI	DITIONAL	FEES 		ROO			
Deposit Account:	Large Fee	Entity Fee	Small Fee	Entity Fee		ee		
Deposit Account 20-1430	Code	(\$)	Code	(\$)	Fee Description	aid		
Account 20-1430 Number		130	205	65 25	Surcharge - late filing fee or oath			
	127	50	227	75	Surcharge - late provisional filing fee or cover sheet.			
Deposit Account Townsend and Townsend and Crew LLP	139	130	139	130	Non-English specification			
Name		2,520	147	2,520	For filing a request for reexamination			
The Commissioner is authorized to: (check all that apply)	112	920*	112	920*	Requesting publication of SIR prior to Examiner action			
Charge fee(s) indicated below Credit any overpayments Charge any additional fee(s) during the pendency of this application	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action			
Charge fee(s) indicated below, except for the filing fee	115	110	215	55	Extension for reply within first month			
to the above-identified deposit account.	116	400	216	200	Extension for reply within second month			
FEE CALCULATION	117	920	217	460	Extension for reply within third month			
BASIC FILING FEE Large Entity Small Entity	118	1,440	218	720	Extension for reply within fourth month			
Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	128	1,960	228	980	Extension for reply within fifth month	}		
Code (\$) Code (\$) Fee Paid 101 740 201 370 Utility filing fee	119	320	219	160	Notice of Appeal			
106 330 206 165 Design filing fee	120 121	320 280	220 221	160 140	Filing a brief in support of an appeal Request for oral hearing			
107 510 207 255 Plant filing fee		_			Petition to institute a public use			
108 740 208 370 Reissue filing fee	138	1,510	138	1,510	proceeding			
114 160 214 80 Provisional filing fee	140	110	240	55	Petition to revive – unavoidable			
SUBTOTAL (1) (\$)	141	1,280 1,280	241 242	640 640	Petition to revive – unintentional Utility issue fee (or reissue)			
C SYTRA CLAIM FEEC FOR UTILITY AND REISSUE	143	460	243	230	Design issue fee			
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	144	620	244	310	Plant issue fee			
Fees from Extra Claims below Fee Paid	122	130	122	130	Petitions to the Commissioner			
Total Claims -** = X =	123	50	123	50	Petitions related to provisional applications			
Independent = X =	126	180	126	180	Submission of Information Disclosure Stmt	30		
Multiple Dependent	581	40	581	40	Recording each patent assignment per property (times number of properties)			
Large Entity Small Entity Fee Fee Fee Fee Football	146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))			
Fee Fee Fee Fee Fee Description 103 18 203 9 Claims in excess of 20	149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))			
102 84 202 42 Independent claims in excess of 3	179	740	279	370	Request for Continued Examination (RCE)			
104 280 204 140 Multiple dependent claim, if not paid 109 84 209 42 Reissue independent claims over original patent	: 39	900	169	900	Request for expedited examination of a design application			
110 18 210 9 Reissue claims in excess of 20 and over original patent	Other fo	Other fee (specify)						
SUBTOTAL (2) (\$) **or number previously paid, if greater; For Reissues, see above	*Reduc	ed by Bas	ic Filing	Fee Pai	d SUBTOTAL (3) (\$)180			
SUBMITTED BY					Complete (if applicable)			
Name (Print/Type) Kent J Tobin Registration No. (Attorn	ney/Agent) 39.	496		Telephone 650-326-2400			
Signature 5)					Date 11/12/42			
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Attorney Docket No.: A5552/T46400 Client Reference No.: AMAT 005552

USA/TCG/PMD/LE



HE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Hung-Tien Yu et al.

Application No.: 09/905,053

Filed: July 12, 2001

For: SEQUENTIAL DEPOSITION PROCESS FOR GAP FILLING

Examiner: Lee, H.M.

Art Unit: 2823

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37

CFR §1.97 and §1.98

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed.

It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information, and no inference should be made that the information and references cited are, or are considered to be material to patentability because they are in this statement. No inference should be made that the information and references cited are prior art merely because they are in this statement.

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Hung-Tien Yu et al. Application No.: 09/905,053

Page 2

This IDS is being filed before the mailing date of the final Office Action or Notice of Allowance.

Please charge the IDS fee of \$180 to Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Kent J. Tobin Reg. No. 39,496

TOWNSEND and TOWNSEND and CREW LLP

Tel: 650-326-2400 Fax: 650-326-2422

KJT:crf

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